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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ming-Jun Li  
 Daniel A. Nolan  
 Gang Qi

Serial No: 10/044,455

Filed: 1/11/02

For: WAVEGUIDE FIBER FOR DISPERSION  
AND SLOPE COMPENSATION

Examiner:

Group Art Unit:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98**

Asst. Commissioner of Patents and Trademarks  
Washington, DC 20231

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

*William J. Chervenak*  
 William J. Chervenak  
 Registration No. 38,407  
 Corning Incorporated  
 SP-TI-03-1  
 Corning, NY 14831  
 (607) 974-3956

Date: 5 Jun 02

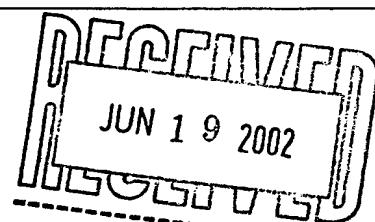
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Asst. Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 6 Jun 02

Date of Deposit

\_\_\_\_\_  
 William J. Chervenak  
 Name of applicant, assignee, or  
 Registered Representative

*William J. Chervenak*  
 Signature

5 Jun 02  
 Date of Signature



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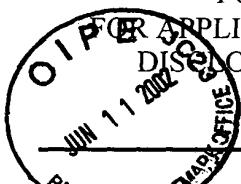
10/044,455

LIST OF PATENTS AND  
PUBLICATIONS  
FOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENT

APPLICANT Li et al.

FILING DATE 1/11/02

GROUP:



## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes	No
AL	WO 02/37718	5/10/02	PCT		H04B	10/00	X	
AM	EP 1035671	9/13/00	Germany		H04B	10/18	X	
AN								
AO								
AP								
AQ								

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	
AS	
AT	
AU	
AV	
AW	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.